| Introductory sub-table (I)(a)                |                                     |  |   |  |  |  |  |  |  |  |
|--|-------------------------------------|--|---|--|--|--|--|--|--|--|
| Name of the Company                          | SAINIK FINANCE & INDUSTRIES LIMITED |  |   |  |  |  |  |  |  |  |
| Scrip Code, Name of Scrip, Class of security | 530265, SAINIK, Equity Shares       |  |   |  |  |  |  |  |  |  |
| Quarter ended                                | 30-09-2013                          |  |   |  |  |  |  |  |  |  |
| Partly paid up shares:                       | No. of Partly paid up shares        | As a % of total Number of partly paid up shares              | As a % of total Number of shares of the Company.  |  |  |  |  |  |  |  |
| Held by Promoter/ Promoter Group             | NIL                                 | N.A.   | N.A.  |  |  |  |  |  |  |  |
| Held by Public                               | NIL                                 | N.A.   | N.A.  |  |  |  |  |  |  |  |
| Total  | NIL                                 | N.A.   | N.A.  |  |  |  |  |  |  |  |
| Outstanding Convertible Securities :         | Number of outstanding securities    | As a % of total Number of outstanding Convertible Securities | As a % of total Number of shares of the<br>Company assuming full conversion of the<br>Convertible Securities. |  |  |  |  |  |  |  |
| Held by Promoter/ Promoter Group             | NIL                                 | N.A.   | N.A.  |  |  |  |  |  |  |  |
| Held by Public                               | NIL                                 | N.A.   | N.A.  |  |  |  |  |  |  |  |
| Total  | NIL                                 | N.A.   | N.A.  |  |  |  |  |  |  |  |
| Warrant:                                     | Number of warrants                  | As a % of total Number of warrants                           | As a % of total Number of shares of the Company assuming full conversion of warants.                          |  |  |  |  |  |  |  |
| Held by Promoter/ Promoter Group             | NIL                                 | N.A.   | N.A.  |  |  |  |  |  |  |  |
| Held by Public                               | NIL                                 | N.A.   | N.A.  |  |  |  |  |  |  |  |
| Total  | NIL                                 | N.A.   | N.A.  |  |  |  |  |  |  |  |
| Total Paid- up capital of the company        |                                     |  |   |  |  |  |  |  |  |  |
| assuming full conversion of warrants         |                                     |  | 10,880,000  |  |  |  |  |  |  |  |
| and convertible serurities                   |                                     |  |   |  |  |  |  |  |  |  |

|                  | S  | tatement Showin           | g Shareholding Pat |  | ance & Indust           | ries Limited                         |   |                    |  |
|------------------|--|---------------------------|--------------------|--|-------------------------|--------------------------------------|---|--------------------|--|
| Category<br>code | Category of<br>Shareholder   | Number of<br>Shareholders | Total number       | ble (I) (a)  Number of shares held in dematerialized | percenta                | eholding as a age of total of shares | Shares Pledged or otherwise encumbered* |                    |  |
|                  |  |                           |                    | form   | As a percentage of(A+B) | As a percentage of (A+B+C)           | Number of shares                        | As a percentage    |  |
| <b>(I)</b>       | (II)   | (III)                     | (IV)               | <b>(V</b> )  | (VI)                    | (VII)                                | (VIII)                                  | IX=(VIII)/(IV)*100 |  |
| (A)              | Shareholding of Promoter and<br>Promoter Group                             |                           |                    |  |                         |                                      |   |                    |  |
| 1                | Indian   |                           |                    |  |                         |                                      |   |                    |  |
| (a)              | Individuals/ Hindu Undivided<br>Family                                     | 33                        | 5,625,811          | 5,621,611  | 51.71                   | 51.71                                | Nil                                     | N.A.               |  |
| (b)              | Central Government/ State<br>Government(s)                                 | -                         | -                  | -  | -                       | -                                    | Nil                                     | N.A.               |  |
| (c)              | Bodies Corporate   | -                         | -                  | -  | -                       | -                                    | Nil                                     | N.A.               |  |
| (d)              | Financial Institutions/ Banks  | -                         | -                  | -  | -                       | -                                    | Nil                                     | N.A.               |  |
| (e)              | Any Others(Specify)  | -                         | -                  | -  | -                       | -                                    | Nil                                     | N.A.               |  |
|                  | Sub Total(A)(1)  | 33                        | 5,625,811          | 5,621,611  | 51.71                   | 51.71                                | Nil                                     | N.A.               |  |
| 2                | Foreign  |                           |                    |  |                         |                                      |   |                    |  |
| a                | Individuals (Non-Residents<br>Individuals/ Foreign Individuals)            | -                         | -                  | -  | -                       | -                                    | Nil                                     | N.A.               |  |
| b                | Bodies Corporate   | -                         | -                  | -  | -                       | -                                    | Nil                                     | N.A.               |  |
| С                | Institutions   | -                         | -                  | -  | -                       | -                                    | Nil                                     | N.A.               |  |
| d                | Qualified Foreign Investor   | -                         | -                  | -  | -                       | -                                    | Nil                                     | N.A.               |  |
| e                | Any Others(Specify)  | -                         | -                  | -  | -                       | -                                    | Nil                                     | N.A.               |  |
|                  | Sub Total(A)(2)  | -                         | -                  | -  | -                       | -                                    | Nil                                     | N.A.               |  |
|                  | Total Shareholding of Promoter<br>and Promoter Group (A)=<br>(A)(1)+(A)(2) | 33                        | 5,625,811          | 5,621,611  | 51.71                   | 51.71                                | Nil                                     | N.A.               |  |

| Category code | Category of<br>Shareholder  | Number of<br>Shareholders | Total number<br>of shares | Number of shares held in dematerialized | n percentage of total<br>ed number of shares |                            | e                | Shares Pledged or otherwise encumbered* |  |  |
|---------------|---|---------------------------|---------------------------|---|--|----------------------------|------------------|---|--|--|
|               |   |                           |                           | form                                    | As a percentage of(A+B)                      | As a percentage of (A+B+C) | Number of shares | As a percentage                         |  |  |
| <b>(I)</b>    | (II)  | (III)                     | (IV)                      | <b>(V)</b>                              | (VI)   | (VII)                      | (VIII)           | IX=(VIII)/(IV)*100                      |  |  |
| <b>(B)</b>    | Public shareholding   |                           |                           |   |  |                            |                  |   |  |  |
| B 1           | Institutions  |                           |                           |   |  |                            |                  |   |  |  |
| (a)           | Mutual Funds/ UTI   | 1                         | 1                         | -                                       | -  | -                          | Nil              | N.A.                                    |  |  |
| (b)           | Financial Institutions Banks  | -                         | -                         | -                                       | -  | -                          | Nil              | N.A.                                    |  |  |
| (c)           | Central Government/ State   |                           |                           |   |  |                            |                  |   |  |  |
|               | Government(s)   | -                         | -                         | -                                       | -  | -                          | Nil              | N.A.                                    |  |  |
| (d)           | Venture Capital Funds   | -                         | -                         | -                                       | -  | -                          | Nil              | N.A.                                    |  |  |
| (e)           | Insurance Companies   | -                         | 1                         | -                                       | -  | -                          | Nil              | N.A.                                    |  |  |
| (f)           | Foreign Institutional Investors   | -                         | -                         | -                                       | -  | -                          | Nil              | N.A.                                    |  |  |
| (g)           | Foreign Venture Capital Investors   | _                         | _                         | _                                       | -  | -                          | Nil              | N.A.                                    |  |  |
| (h)           | Qualified Foreign Investor  | -                         | _                         | _                                       | _  | -                          | Nil              | N.A.                                    |  |  |
| (i)           | Any Other (specify)   | -                         | -                         | -                                       | -  | -                          | Nil              | N.A.                                    |  |  |
|               | Sub-Total (B)(1)  | -                         |                           | -                                       | -  | -                          | Nil              | N.A.                                    |  |  |
| B 2           | Non-institutions  |                           |                           |   |  |                            |                  | N.A.                                    |  |  |
| (a)           | Bodies Corporate  | 51                        | 3,503,995                 | 1,648,943                               | 32.21  | 32.21                      | Nil              | N.A.                                    |  |  |
| (b)           | Individuals   |                           |                           |   |  |                            |                  | N.A.                                    |  |  |
| I             | i. Individuals- Individual<br>shareholders holding nominal<br>share capital up to Rs 1 lakh | 3,359                     | 1,176,699                 | 245,482                                 | 10.82  | 10.82                      | Nil              | N.A.                                    |  |  |
| II            | ii. Individual shareholders holding<br>nominal share capital in excess of<br>Rs. 1 lakh.    | 26                        | 537,149                   | 183,783                                 | 4.94   | 4.94                       | Nil              | N.A.                                    |  |  |
| (c)           | Qualified Foreign Investor  | _                         | _                         | _                                       | _  | _                          | Nil              | N.A.                                    |  |  |
| (d)           | Any Other (specify)   |                           |                           |   |  |                            | 1,11             | N.A.                                    |  |  |
| (d-i)         | Non-Resident Indian   | 47                        | 36,213                    | 2,596                                   | 0.33   | 0.33                       | Nil              | N.A.                                    |  |  |
| (d-ii)        | Clearing Member   | .,                        | 20,213                    | 2,500                                   | 3.50   | 3.33                       | Nil              | N.A.                                    |  |  |

| Category code | Category of<br>Shareholder  | Number of<br>Shareholders | Total number<br>of shares | Number of shares held in dematerialized | Total shareholding as a percentage of total number of shares |                 | Shares Pledged or otherwise encumbered* |                    |
|---------------|---|---------------------------|---------------------------|---|--|-----------------|---|--------------------|
|               |   |                           |                           | form                                    | As a percentage  | As a percentage | Number of shares                        | As a percentage    |
|               |   |                           |                           |   | of(A+B)  | of (A+B+C)      | Situics                                 |                    |
| <b>(I)</b>    | (II)  | (III)                     | (IV)                      | <b>(V)</b>                              | (VI)   | (VII)           | (VIII)                                  | IX=(VIII)/(IV)*100 |
| (d-iii)       | Clearing House  | 2                         | 133                       | 133                                     | 0.001  | 0.001           | Nil                                     | N.A.               |
|               | Sub-Total (B)(2)  | 3,485                     | 5,254,189                 | 2,080,937                               | 48.29  | 48.29           | Nil                                     | N.A.               |
| <b>(B)</b>    | Total Public Shareholding (B)=(B)(1)+(B)(2)                                       | 3,485                     | 5,254,189                 | 2,080,937                               | 48.29  | 48.29           | Nil                                     | N.A.               |
|               | TOTAL (A)+(B)   | 3,518                     | 10,880,000                | 7,702,548                               | 100.00   | 100.00          | Nil                                     | N.A.               |
| (C)           | Shares held by Custodians and against which Depository Receipts have been issued: | -                         | _                         | _                                       | -  | -               |   | N.A.               |
|               | Promoter and Promoter Group   | -                         | -                         | -                                       | -  | -               | Nil                                     | N.A.               |
|               | Public  | -                         | -                         | -                                       | -  | -               | Nil                                     | N.A.               |
|               | GRAND TOTAL (A)+(B)+(C)   | 3,518                     | 10,880,000                | 7,702,548                               | 100.00   | 100.00          | Nil                                     | N.A.               |
|               | * Information of Pledged/encumber   | red shares are in re      | espect of Promoter/ I     | Promoter Group on                       | ly.  |                 |   |                    |

Statement showing Shareholding of securities (including shares, warrants, convertible securities) of persons belonging to the category to Promoters and Promoter Group (I)(b)

| Sr. No. | Name of the shareholder     | Details of sh       | ares held                                      | Eı  | ncumbered sl            | nares (*)  | Details                          | Details of warrants                               |  | convertible<br>rities   | Total shares<br>(including   |
|---------|-----------------------------|---------------------|--|-----|-------------------------|--|----------------------------------|---|--|---|--|
|         |                             | Number of<br>shares | As a % of<br>grand total<br>(A) +(B) + (<br>C) | No. | As a percentage         | As a % of<br>grand total<br>(A)+(B)+(C)<br>of sub-clause<br>(I)(a) | Number<br>of<br>warrants<br>held | As a % total number of warrants of the same class | Number of<br>convertible<br>securities<br>held | As a %<br>total<br>number of<br>convertible<br>securities<br>of the same<br>class | underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital |
| (1)     | (II)                        | (III)               | (IV)   | (V) | (VI)= (V)/(III)<br>*100 | (VII)  | (VIII)                           | (IX)  | (X)  | (XI)  | (XII)  |
| 1       | KULDEEP SINGH SOLANKI       | 1,521,898           | 13.98  | -   | -                       | -  | -                                | -   | -  | -   | -  |
| 2       | YUVRAJ SINGH SOLANKI        | 432,833             | 3.98   | -   | -                       | -  | -                                | -   | -  | -   | -  |
| 3       | INDU SOLANKI                | 337,833             | 3.11   | -   | -                       | -  | -                                | -   | -  | -   | -  |
| 4       | RUDRA SEN SINDHU            | 352,717             | 3.24   | -   | -                       | -  | -                                | -   | -  | -   | -  |
| 5       | SARVESH SINDHU              | 248,866             | 2.29   | -   | -                       | -  | -                                | -   | -  | -   | -  |
| 6       | VIR SEN SINDHU(HUF)         | 247,066             | 2.27   | -   | -                       | -  | -                                | -   | -  | -   | -  |
| 7       | VRITPAL SINDHU              | 206,200             | 1.90   | -   | -                       | -  | -                                | -   | -  | -   | -  |
| 8       | SUMATI SINDHU               | 194,333             | 1.79   | -   | -                       | -  | -                                | -   | -  | -   | -  |
| 9       | KULDEEP SINGH SOLANKI (HUF) | 172,800             | 1.59   | -   | -                       | -  | -                                | -   | -  | -   | -  |
| 10      | ABHIMANYU SINDHU            | 175,166             | 1.61   | -   | -                       | -  | -                                | -   | -  | -   | -  |
| 11      | SURABHI SINDHU              | 149,933             | 1.38   | -   | -                       | -  | -                                | -   | -  | -   | -  |
| 12      | PARMESHWARI DEVI            | 456,532             | 4.20   | -   | -                       | -  | -                                | -   | -  | -   | -  |
| 13      | MANISHA SOLANKI             | 117,800             | 1.08   | -   | -                       | -  | -                                | -   | -  | -   | -  |
| 14      | COL. GIRDHARI SINGH (HUF)   | 109,400             | 1.01   | -   | -                       | -  | -                                | -   | -  | -   | -  |
| 15      | VIR SEN SINDHU              | 102,198             | 0.94   | -   | -                       | -  | -                                | -   | -  | -   | -  |
| 16      | SHWETA SINDHU               | 96,565              | 0.89   | -   | -                       | -  | -                                | -   | -  | -   | -  |
| 17      | RUDRA SEN SINDHU (HUF)      | 89,600              | 0.82   | -   | -                       | -  | -                                | -   | -  | -   | -  |
| 18      | SAROJ SINDHU                | 87,033              | 0.80   | -   | -                       | -  | -                                | -   | -  | -   | -  |
| 19      | RAJSHREE RATHORE            | 64,000              | 0.59   | -   | -                       | -  | -                                | -   | -  | -   | -  |
| 20      | ASHA RATHORE                | 75,200              | 0.69   | -   | -                       | -  | -                                | -   | -  | -   | -  |
| 21      | SHAHISTA SINDHU             | 58,600              | 0.54   | -   | -                       | -  | -                                | -   | -  | -   | -  |
| 22      | SOMVIR SINDHU               | 52,000              | 0.48   | -   | -                       | -  | -                                | -   | -  | -   | -  |
| 23      | RACHNA SINDHU               | 51,900              | 0.48   | -   | -                       | -  | -                                | -   | -  | -   | -  |
| 24      | SATYA PAL SINDHU            | 45,000              | 0.41   | -   | -                       | -  | -                                | -   |  |   |  |
| 25      | SAURABH SINDHU              | 41,666              | 0.38   | -   | -                       | -  | -                                | -   |  | -   | -  |
| 26      | USHA SINDHU                 | 52,675              | 0.48   | -   | -                       | -  | -                                | -   | -  | -   |  |

| Sr. No. | Name of the shareholder                    | Details of sh       | nares held      | Eı       | ncumbered sh            | nares (*)  | Details of warrants |   | Details of convertible securities              |      | Total shares<br>(including   |
|---------|--|---------------------|-----------------|----------|-------------------------|--|---------------------|---|--|------|--|
|         |  | Number of<br>shares |                 | No.      | As a percentage         | As a % of<br>grand total<br>(A)+(B)+(C)<br>of sub-clause<br>(I)(a) |                     | As a % total number of warrants of the same class | Number of<br>convertible<br>securities<br>held |      | underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital |
| (1)     | (II)                                       | (III)               | (IV)            | (V)      | (VI)= (V)/(III)<br>*100 | (VII)  | (VIII)              | (IX)  | (X)  | (XI) | (XII)  |
| 27      | DEV SUMAN SINDHU                           | 40,000              | 0.37            | 1        | -                       | -  | -                   | -   | -  | -    | -  |
| 28      | SHASHI SINDHU                              | 18,466              | 0.17            | 1        | -                       | -  | -                   | -   | -  | -    | -  |
| 29      | VRIT PAL SINDHU(HUF)                       | 13,166              | 0.12            | -        | -                       | -  | -                   | -   | -  | -    | -  |
| 30      | EKTA SINDHU                                | 5,000               | 0.05            | -        | -                       | -  | -                   | -   | -  | -    | -  |
| 31      | ANIKA SINDHU                               | 500                 | 0.00            | -        | -                       | -  | -                   | -   | -  | -    | -  |
| 32      | RAJBIR SINGH                               | 4,665               | 0.04            | -        | -                       | -  | -                   | -   | -  | -    | -  |
| 33      | NIRANJAN SINGH                             | 4,200               | 0.04            | -        | -                       | -  | -                   | -   | -  | -    | -  |
| Total   |  | 5,625,811           | 51.71           | -        | -                       | -  | -                   | -   | -  | -    | -  |
|         | * Information of Pledged/encumbered shares | are in respect of   | f Promoter/ Pro | moter Gr | oup only.               |  |                     |   |  |      |  |

## (I)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

| Sr. No. | Name of the shareholder         | Number of   | Shares as a                  | Details of | f warrants | Details of convertible |             | Total shares (including |
|---------|---------------------------------|-------------|------------------------------|------------|------------|------------------------|-------------|-------------------------|
|         |                                 | shares held | percentage of                |            |            | securities             |             | underlying shares       |
|         |                                 |             | total number of              |            |            |                        |             | assuming full           |
|         |                                 |             | shares {i.e.,<br>Grand Total | Number     | As a %     | Number of              | % w.r.t     | conversion of           |
|         |                                 |             | (A)+(B)+(C)                  | of         | total      | convertible            | total       | warrants and            |
|         |                                 |             | indicated in                 | warrants   | number     | securities             | number of   | convertible securities) |
|         |                                 |             | Statement at                 | held       | of         | held                   | convertible | as a % of diluted share |
|         |                                 |             | para (I)(a)                  |            | warrants   |                        | securities  | capital                 |
|         |                                 |             | above}                       |            | of         |                        | of          |                         |
|         |                                 |             |                              |            | the same   |                        | the same    |                         |
|         |                                 |             |                              |            | class      |                        | class       |                         |
| 1       | SINDHU TRADE LINKS LIMITED      | 1173565     | 10.79                        | Nil        | _          | _                      | Nil         | Nil                     |
| 2       | MANEESHA FINLEASE LIMITED       | 1046159     | 9.62                         | Nil        |            | -                      | Nil         | Nil                     |
| 3       | SAINIK MINING & ALLIED SERVICES | 853666      | 7.85                         | Nil        | -          | -                      | Nil         | Nil                     |
|         | LTD                             |             |                              |            |            |                        |             |                         |
| 4       | SINDHU FARMS PRIVATE LTD        | 111666      | 1.03                         | Nil        | _          | 1                      | Nil         | Nil                     |
|         | Total                           | 3,185,056   | 29.27                        | Nil        | -          | -                      | Nil         | Nil                     |

## (I)(c)(ii) <u>Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company</u>

| Sr. No. | Name of the shareholder                      | Number of shares held | Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in | Number<br>of<br>warrants | of total c<br>warrants number s      |      | convertible rities % w.r.t total number of convertible | Total shares (including underlying shares assuming full conversion of warrants and convertible securities) |  |
|---------|--|-----------------------|--|--------------------------|--------------------------------------|------|--|--|--|
|         |  |                       | Statement at para (I)(a) above}  | neiu                     | warrant<br>s of<br>the same<br>class | held | securities<br>of<br>the same<br>class                  | as a % of diluted share<br>capital   |  |
| 1       | SAINIK MINING AND ALLIED<br>SERVICES LIMITED | 853,666               | 7.85   | Nil                      | -                                    | -    | Nil  | Nil  |  |
| 2       | SINDHU TRADE LINKS LIMITED                   | 1,173,565             | 10.79  | Nil                      | -                                    | -    | Nil  | Nil  |  |
|         | MANEESHA FINLEASE LIMITED                    |                       |  |                          |                                      |      |  |  |  |
| 3       |  | 1,046,159             | 9.62   | Nil                      | -                                    | -    | Nil  | Nil  |  |
|         | Total  | 3,073,390             | 28.25  | Nil                      | -                                    | -    | Nil  | Nil  |  |

| (I)(d)  | Statement showing details of locked-in shares |                            |   |
|---------|---|----------------------------|---|
| Sr. No. | Name of the shareholder                       | Number of locked-in shares | Locked-in shares as a percentage of total<br>number of shares {i.e., Grand Total<br>(A)+(B)+(C) indicated in Statement at para<br>(I)(a) above} |
| 1       | Nil   | -                          | -   |
| 2       | Nil   | -                          | -   |
| 3       | Nil   | -                          | -   |
| 4       | Nil   | -                          | -   |
| 5       | Nil   | -                          | -   |
| 6       | Nil   | -                          | -   |
| 7       | Nil   | -                          | -   |
| 8       | Nil   | -                          | -   |
| 9       | Nil   | -                          | -   |
| TOTAL   |   | -                          | -   |

## Statement showing details of Depository Receipts (DRs) (II)(a)Type of outstanding DR (ADRs, Number of outstanding Number of shares Sr. No. Shares underlying outstanding DRs GDRs, SDRs, etc.) **DRs** underlying as a percentage of total number of outstanding DRs shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above} Nil 2 Nil Nil Nil 4 Nil 5 Nil 6 Nil 7 Nil 8 Nil 9 **TOTAL**

## (II)(b) Statement showing Holding of Depository Receipts (DRs), where underlying shares held by promoters/promoters group are in in excess of 1% of the total number of shares

| Sr. No. | Name of the DR Holder | Type of outstanding DR (ADRs, GDRs, SDRs, etc.) | underlying outstanding<br>DRs | Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above} |
|---------|-----------------------|---|-------------------------------|---|
| 1       | Nil                   | -   | -                             | -   |
| 2       | Nil                   | -   | -                             | -   |
| 3       | Nil                   | -   | -                             | -   |
| 4       | Nil                   | -   | -                             | -   |
| 5       | Nil                   | -   | -                             | -   |
| 6       | Nil                   | -   | -                             | -   |
| 7       | Nil                   | -   | -                             | -   |
| 8       | Nil                   | -   | -                             | -   |
| 9       | Nil                   | -   | -                             | -   |
|         |                       |   |                               |   |
| TOTAL   |                       | -   | -                             |   |